TNT-100US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Aaron Bond et al.

: Art Unit:

Serial No.:

To Be Assigned

: Examiner:

Filed:

Herewith

For:

METHOD OF FABRICATING A

MONOLITHIC EXPANDED BEAM MODE ELECTROABSORPTION

**MODULATOR** 

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.



No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Kenneth N. Nigon, Reg. No. 31,549 Lowell L. Carson, Reg. No. 48,548

Attorneys for Applicants

**Enclosures:** 

PTO Form 1449 Copy of (7) References

Dated: January 25, 2002

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail under Express Mail Label No. EL 743 541 299 US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

January 25, 2002

Kathleen Libby

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			TNT-100US	To Be Assigned				
			APPLICANT					
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initial	6,278,170	8/21/01	Komatsu		Class	Date		
	5,757,833	5/26/98	Arakawa et al.			<u> </u>		
	6,162,655	12/19/00	Johnson et al.		<del>                                     </del>			
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## **OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner		Date Considered	

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.